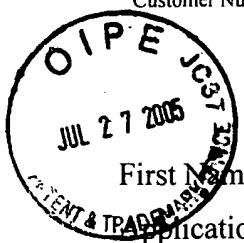


32692

Customer Number

Patent  
Case No.: 58388US004



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: OUDERKIRK, ANDREW J.

Application No.: 10/762678 Group Art Unit: 2814

Filed: January 20, 2004 Examiner: Louie, Wai Sing

Title: PHOSPHOR BASED LIGHT SOURCES HAVING FRONT ILLUMINATION

### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**CERTIFICATE OF MAILING**  
I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on:  
July 25, 2005 Andrea Newhouse  
Date Signed by: Andrea Newhouse

Dear Sir:

Pursuant to 37 CFR §§ 1.56, 1.97, and 1.98, enclosed is a completed Form PTO-1449, citing an additional reference submitted for consideration by the Examiner. A copy of this reference is enclosed. It is respectfully requested that the Examiner initial and return the enclosed Form PTO-1449 to indicate that the reference has been considered.

The reference, entitled "Inquiry Documents", is a collection of documents relating to an inquiry from an outside party who expressed interest in using 3M's multilayer polymeric UV mirror film in combination with an LED and a phosphor. For reference purposes, consecutive page numbers have been added at the bottom of each page. In the case of the emails from Larry R. Gilbert dated 03/19/2001 and 03/20/2001 (pages 4 and 31), printouts of the respective electronic file attachments are provided. On information and belief, each of the sheets of UV mirror film that were sent in connection with the letter on page 29 and the letter on page 54 was a polymeric multi-layer optical film having alternating coextruded and biaxially stretched layers of PET and co-PMMA and having a single normal-incidence reflection band (measured at half-maximum) that extended from about 320 nm to an upper wavelength limit that ranged from about 430 nm to about 460 nm, depending on the position on the sheet. Each sheet was flexible, and was made by 3M Company in the United States. The letter on page 54 was sent on or about May 2, 2001, with two sheets of the UV mirror film, about 6x6 inches in size.

07/28/2005 WABDELR1 00000011 133723  
01 FC:1805 180.00 M  
10762678

This Information Disclosure Statement is being mailed after receipt of a first Office Action on the merits, but prior to the mailing of a Notice of Allowance under 37 CFR § 1.311. Please charge the fee for consideration of an Information Disclosure Statement set forth in 37 CFR § 1.17(p) to Deposit Account No. 13-3723, and if necessary, please charge any additional fees, or credit any overpayment to Deposit Account No. 13-3723. One copy of this sheet marked duplicate is also enclosed.

Respectfully submitted,

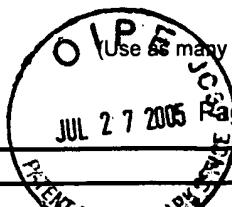
25 July 2005  
Date

By: Stephen C. Jensen  
Stephen C. Jensen, Reg. No.: 35,207  
Telephone No.: (651) 736-3369

Office of Intellectual Property Counsel  
3M Innovative Properties Company  
Facsimile No.: 651-736-3833

Substitute for form 1449A/PTO (modified)

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT



(Use as many sheets as necessary)

Application Number	10/762678
Filing Date	January 20, 2004
First Named Inventor	Onderkirk, Andrew J.
Art Unit	2875
Examiner Name	Unknown
Attorney Case Number	58388US004

## U.S. Patent Documents

Exam. Init.*	Cite No.	Document Number	Publication Date or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Doc. Number-(Kind Code if Known)			
	A1	US-			

## Foreign Patent Documents

Exam. Init.*	Cite No.	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation (Check if yes)
		Ctry. Code	Number-KindCode (If known)				
	B1						

## OTHER DOCUMENTS

Exam. Init.*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published				Translation (Check if yes)
	C1	Inquiry Documents, 54 pages.				

\*Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.